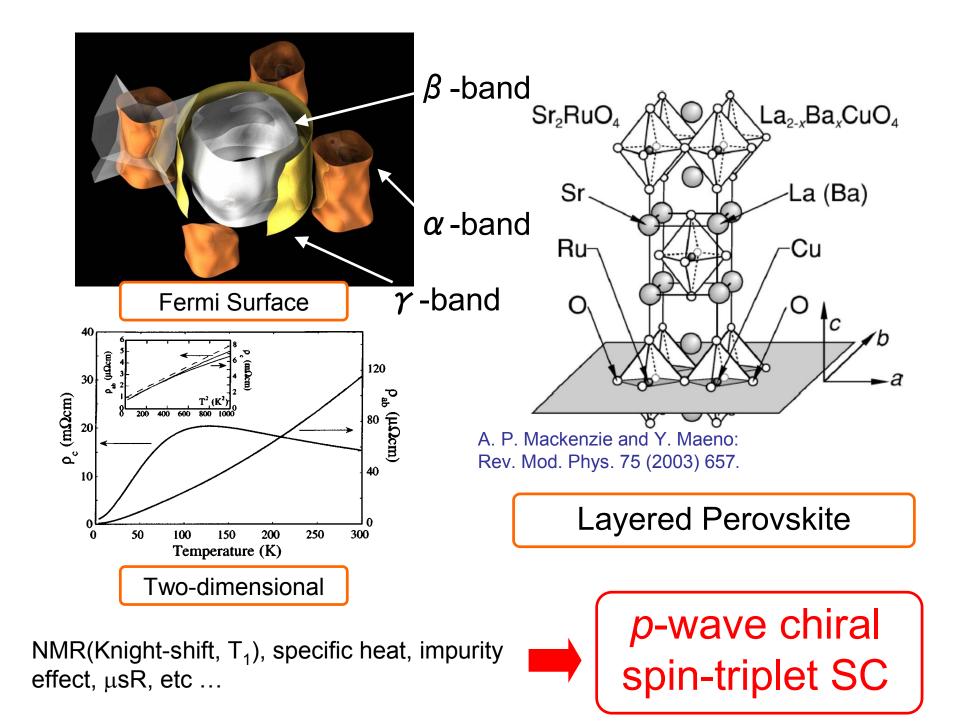
Theory of d-Vector of in Spin-Triplet Superconductor Sr<sub>2</sub>RuO<sub>4</sub>

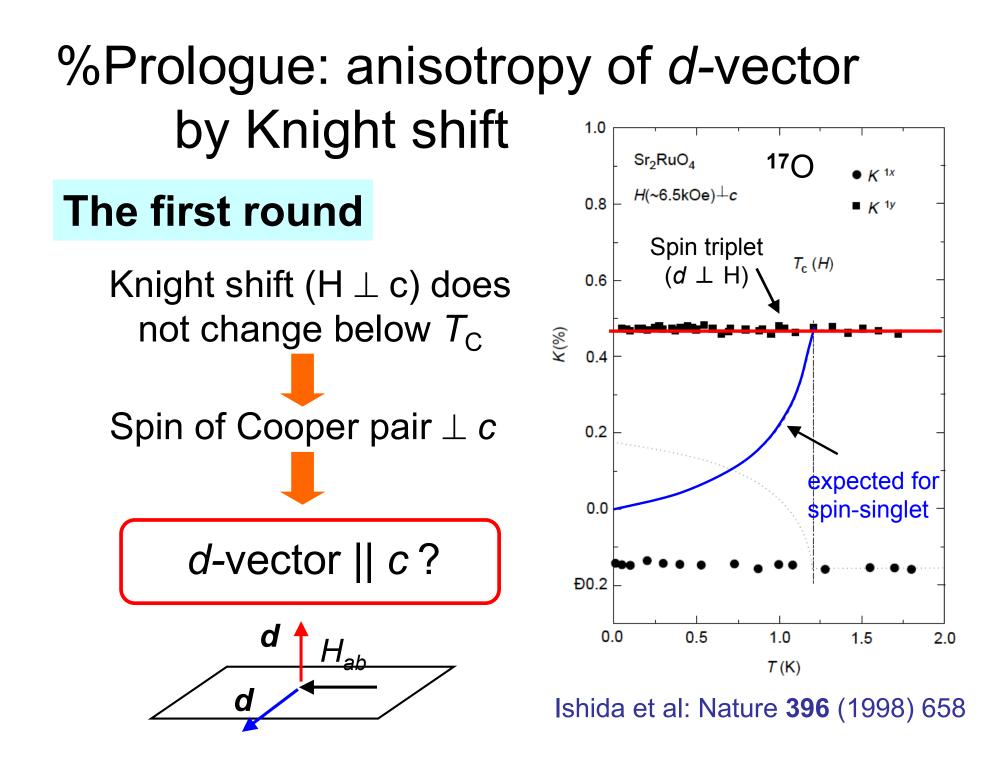
K. Miyake KISOKO, Osaka University Acknowledgements Y. Yoshioka JPSJ 78 (2009) 074701. K. Hoshihara JPSJ 74 2679 (2005) 2679. K. Ishida, H. Kohno Discussions

## % Prologue

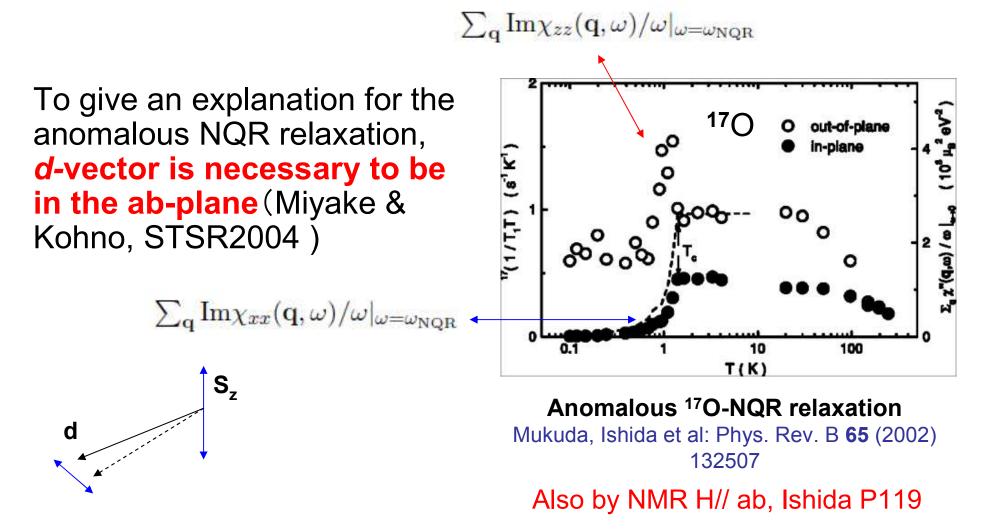
% Microscopic theory of d-vector on d-p model

% Anomalous NQR relaxation rate by internal Josephson effect due to pair spin-orbit interaction





## **Crucial experiment: NQR relaxation**



cf. Internal Josephson oscillations: Leggett (1973)

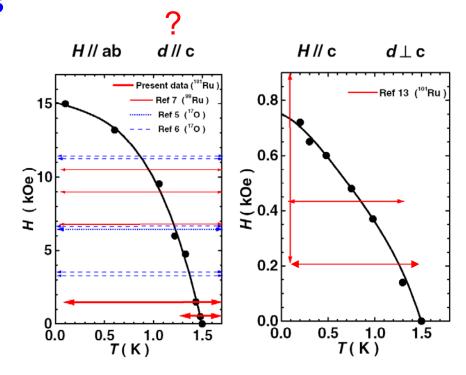
# **Experiment of Knight shift**

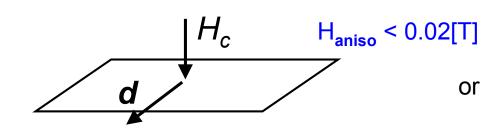
## The second round

Murakawa, Ishida et al:

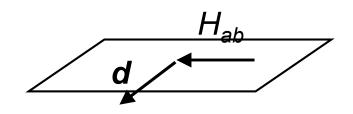
Phys. Rev. Lett. 93 (2004) 167004

The Knight-shift (H || c) remains unchanged across the  $T_c$ , as well as H || ab, even with a small magnetic field of 0.02[T].





*d*-vector  $\perp$  c ?



## %Microscopic theory of d-vector on d-p model

- Brief and incomplete history
  - *d*-vector issue and theory
- Calculation of  $T_c$  based on d-p model
- Anisotropy of *d*-vector
  - *d-p* model + spin-orbit interaction

Y. Yoshioka and KM: J. Phys. Soc. Jpn. 78, 074701 (2009)

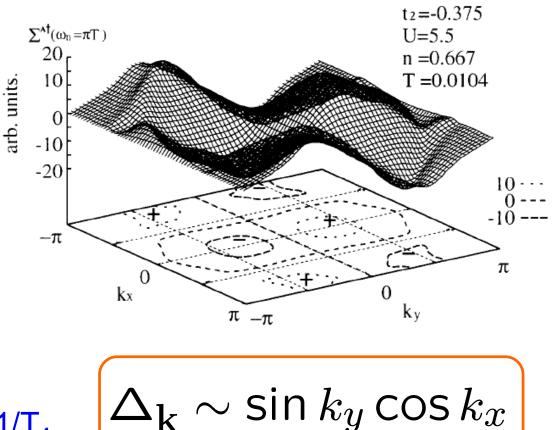
## Hubbard model calculation

T. Nomura & K. Yamada: J. Phys. Soc. Jpn. 71 (2002) 404

The spin-singlet is more stable than the spintriplet, within the second order perturbation theory (SOPT).

Third order perturbation terms stabilize the spintriplet superconductivity

T-dependence of C and  $1/T_1$  well explained



For γ-band

# Anisotropy of *d*-vector (Theory)

•Hubbard model + Atomic Spin-Orbit & Hund coupling Yanase & Ogata :J. Phys. Soc. Jpn. **72** (2003)673

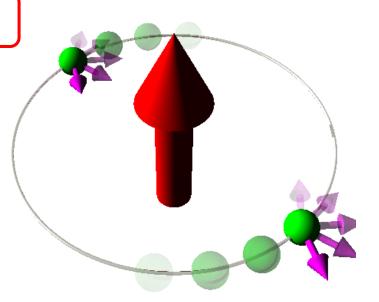
> atomic spin-orbit interaction on Ru site pin *d*-vector to c-axis  $H_a \sim 0.015[T]$

Dipole-dipole interaction of Cooper pairs
 Y. Hasegawa: J. Phys. Soc. Jpn. **72**(2003) 2456

pin *d*-vector to c-axis  $H_a \sim 0.019[T]$ 

0.015 + 0.019 = 0.034 [T]

The Knight shift for an external magnetic field (H || c) less than 0.034[T] should decrease across the T<sub>c</sub> if the d-vector were fixed to the c-axis.



What is the mechanism which pins the *d*-vector in the *ab*-plane ∠

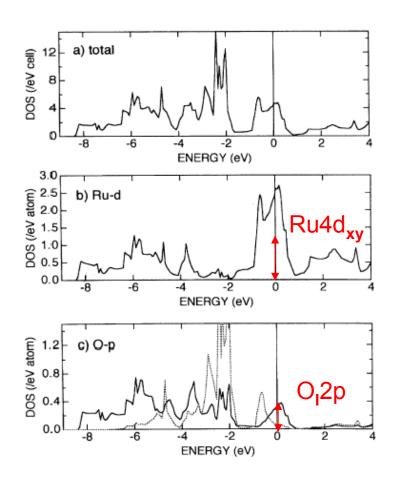
Calculation based on the *d-p* model

d

- We first discuss the microscopic mechanism of the superconductivity in Sr<sub>2</sub>RuO<sub>4</sub> on the basis of the *d-p* model.
- We also calculate the effect of the atomic spin-orbit interaction on the *d*-vector starting from the *d*-*p* model.

### **Specialty of Sr<sub>2</sub>RuO<sub>4</sub> based 4d electrons**

#### Band structure calculation



T. Oguchi: PRB **51** (1995) 1385.

Appreciable weight of 2p-component remaining at Fermi level

$$\frac{N_{\mathsf{F}}(\mathsf{O}_{\mathrm{I}}2p)}{N_{\mathsf{F}}(\mathsf{Ru}4d)} \simeq 0.17$$

$$\frac{N_{\mathsf{F}}(\mathsf{O}_{\mathsf{I}}2p)}{N_{\mathsf{F}}(\mathsf{Ru}4d_{xy})} \simeq 0.34$$

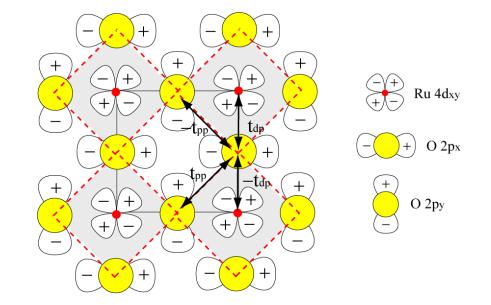
Roles of oxygen cannot be eliminated

Necessity of d-p model beyond Hubbard model

What kind of roles expected ?

#### *d-p* model Hoshihara & Miyake: J. Phys. Soc. Jpn. **74**(2005)2679 2<sup>nd</sup> order perturbation calculation

$$\begin{split} H_{dp} &= \sum_{\langle i,j \rangle \sigma} (t_{dp} d_{i\sigma}^{+} p_{j\sigma} + h.c.) \\ &+ \sum_{\langle i,j \rangle \sigma} (t_{pp} p_{i\sigma}^{+} p_{j\sigma} + h.c.) \\ &+ U_{dd} \sum_{i} d_{i\uparrow}^{+} d_{i\downarrow}^{+} d_{i\downarrow} d_{i\uparrow} \\ &+ U_{pp} \sum_{i} p_{i\uparrow}^{+} p_{i\downarrow}^{+} p_{i\downarrow} p_{i\uparrow} \end{split}$$



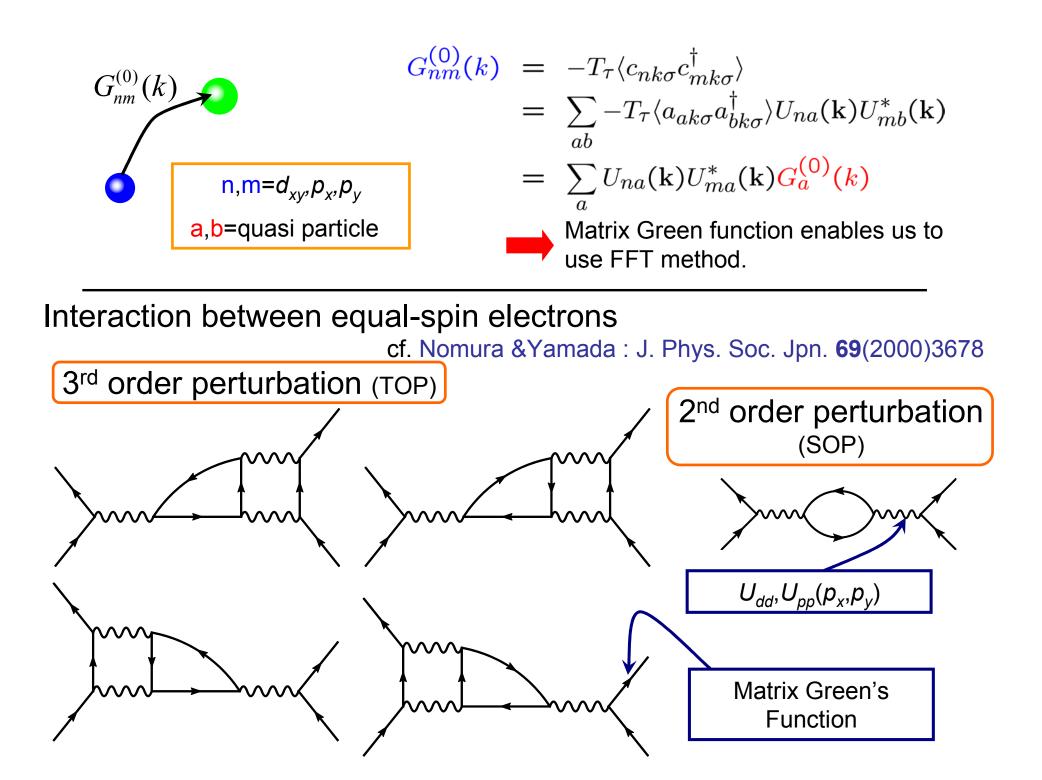
U<sub>pp</sub> cannot be reduced by correlation among 4d electrons (on-site correlation)

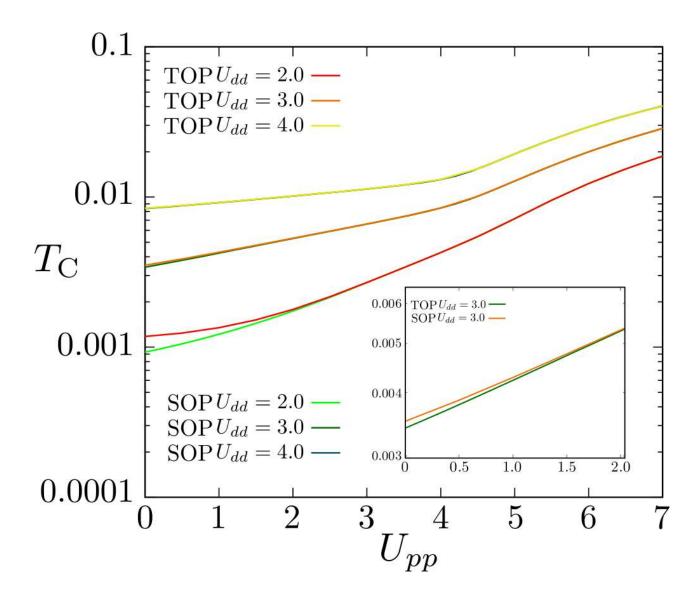
Interaction between ( $\gamma$ -band) quasi-particles

$$\mathcal{H}_{\text{int}} = \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} \tilde{J}_{\mathbf{k},\mathbf{k}';\mathbf{q}} a^{\dagger}_{\mathbf{k}+\mathbf{q}\uparrow} a^{\dagger}_{\mathbf{k}'-\mathbf{q}\downarrow} a_{\mathbf{k}'\downarrow} a_{\mathbf{k}\uparrow}$$
$$\tilde{J}_{\mathbf{k},\mathbf{k}';\mathbf{q}} = U_{\mathbf{k},\mathbf{k}';\mathbf{q}} + J_{\mathbf{k},\mathbf{k}';\mathbf{q}} + J_{\mathbf{k}',\mathbf{k};\mathbf{k}-\mathbf{k}'+\mathbf{q}}$$

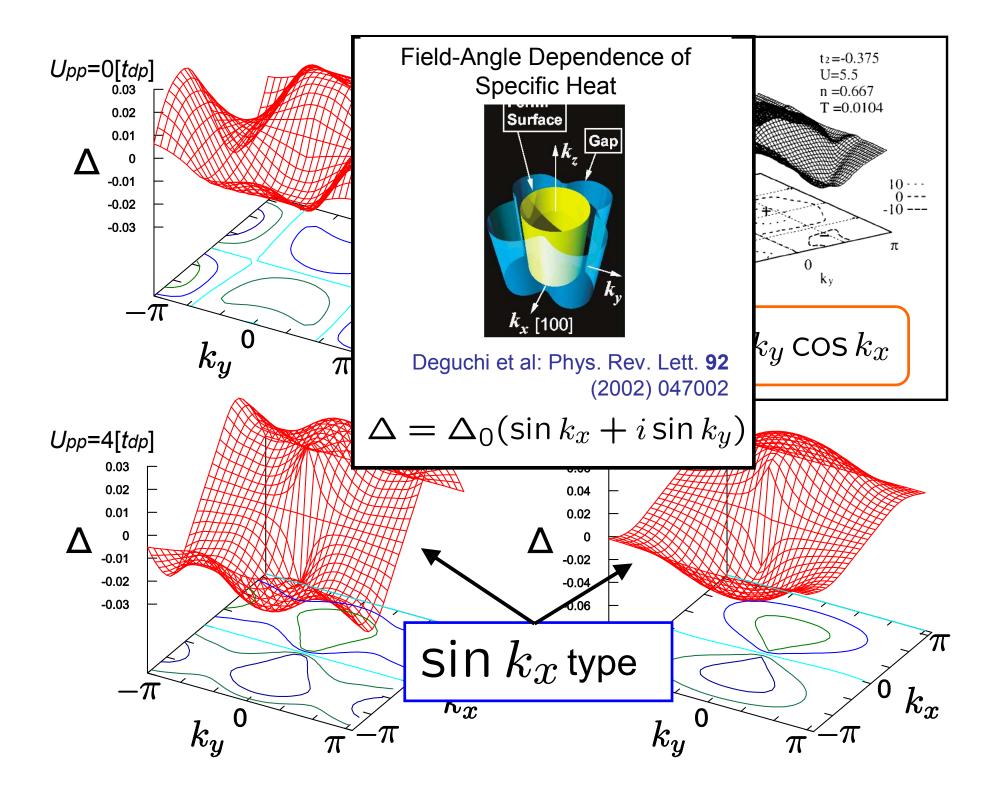
 $\widetilde{\mathcal{J}}_{k,k';q}$  : interaction intricately depends on wave vectors.

Fast Fourier Transformation (FFT) method is not available





- Spin-triplet state is stabilized even within  $2^{nd}$  order perturbation (SOP), and we could not obtain sufficient  $T_c$  for spin-singlet state.
- $T_{c}$  increases monotonically as  $U_{pp}$  increases.



# Anisotropy of d-vector due to atomic spin-orbit and Hund's rule coupling

To violate SU(2) symmetry in the spin space, namely to make a difference between  $V_{\uparrow\uparrow}$  and  $V_{\uparrow\downarrow}$ , we introduce the atomic spin-orbit interaction  $\lambda$  up to second order and Hund-coupling  $J_H$  up to first order.

$$V_{\uparrow\uparrow} < V_{\uparrow\downarrow} \qquad V_{\uparrow\uparrow} > V_{\uparrow\downarrow}$$
$$d \parallel c \qquad d \perp c$$

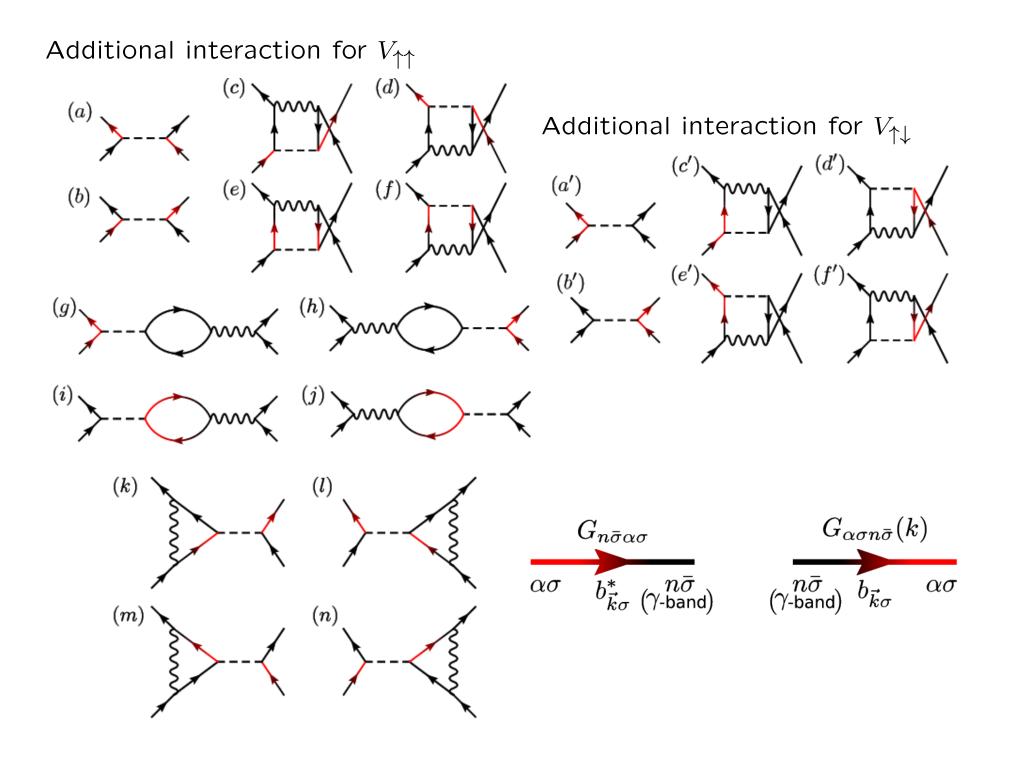
M. Ogata: J. Phys. Chem. Solids **63** (2002) 1329 K. K. Ng and M. Sigrist: Europhys. Lett. **49** (2000) 473

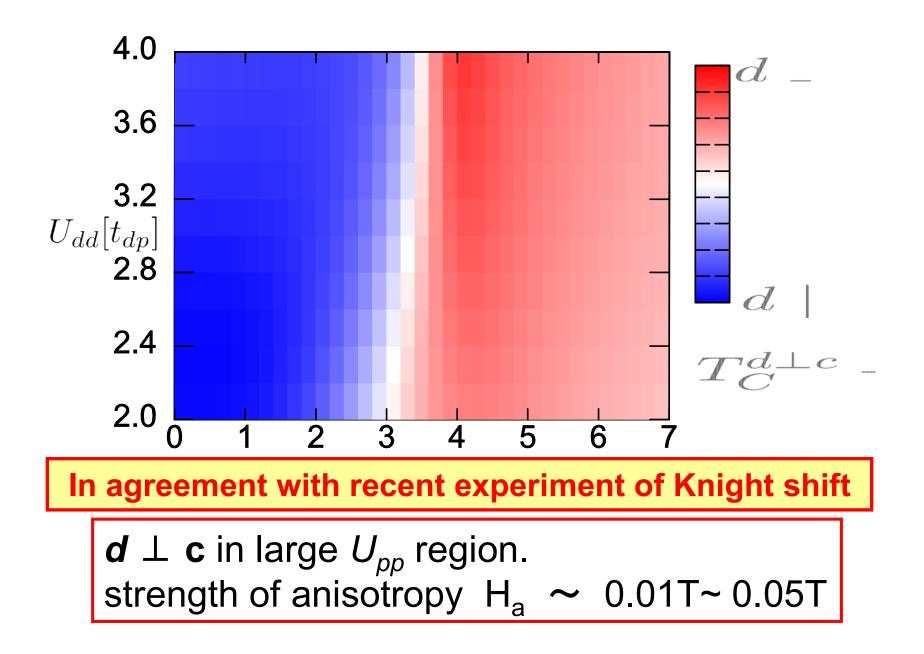
Hamiltonian at Ru site

$$H_{4d} = \left(\begin{array}{ccc} c_{k\alpha\sigma}^{\dagger} & c_{k\beta\sigma}^{\dagger} & c_{k\gamma-\sigma}^{\dagger}\end{array}\right) \left(\begin{array}{ccc} \varepsilon_{\alpha} & -i\sigma\frac{\lambda}{2} & b_{k\sigma} \\ i\sigma\frac{\lambda}{2} & \varepsilon_{\beta} & i\sigma b_{k\sigma} \\ b_{k\sigma}^{*} & -i\sigma b_{k\sigma}^{*} & \varepsilon_{\gamma}\end{array}\right) \left(\begin{array}{ccc} c_{k\alpha\sigma} \\ c_{k\beta\sigma} \\ c_{k\gamma-\sigma} \end{array}\right)$$

Green function containing  $\alpha$  - and  $\beta$  -bands

e.g. 
$$G_{\alpha\uparrow\gamma\downarrow}(k) = b_{k\uparrow}G_{\alpha}(k)G_{\gamma}(k)$$





cf. anisotropy due to dipole interaction  $H_a \sim 0.019T$ 

## Conclusion 1

- On d-p model with Upp, we calculated pairing interaction up to the  $3^{rd}$  order perturbation and the  $T_{\rm C}$  of the superconductivity.
  - In contrast to the Hubbard model
    - The spin-triplet state is stable even within SOPT
    - sin  $k_x$  type gap structure is obtained
- Introducing the spin-orbit interaction and Hund coupling to the *d-p* model, we obtained the result that the *d*-vector can be perpendicular to the *c*axis, in consistent with the recent Knight shift measurements.

% Anomalous NQR Relaxation by internal Josephson effect due to pair spin-orbit interaction

K. Miyake: JPSJ 79 (2010) 024714.

Spin-orbit interaction due to relative motion of quasiparticles near Fermi level

$$H_{\rm so} = -\frac{\mu_{\rm B}^2}{\hbar} \frac{m_{\rm band}}{m^*} \sum_i \sum_{j \neq i} \frac{1}{r_{ij}^3} \vec{\sigma}_i \cdot [\vec{r}_{ij} \times [(2\bar{g} - 1)\vec{p}_i - 2\bar{g}\vec{p}_j]]$$

Two Ward-Pitaevskii idenities:

$$-\frac{m_{\text{band}}}{m^*a}(\mathbf{i}\vec{\nabla}_p \times \mathbf{p}) = -(\mathbf{i}\vec{\nabla}_p \times \mathbf{p}) + \frac{\mathbf{i}}{2}\int \frac{\mathrm{d}^4q}{(2\pi)^4}\Gamma^k_{\alpha\beta,\alpha\beta}(p,q)\{G(q)(\mathbf{i}\vec{\nabla}_q \times \mathbf{q})G(q)\}_k$$
$$\frac{1}{a}\sigma_{\alpha\beta} = \sigma_{\alpha\beta} - \mathbf{i}\int \frac{\mathrm{d}^4q}{(2\pi)^4}\sigma_{\xi\eta}\{G_{\xi}(q)G_{\eta}(q)\}_{\omega}\Gamma^{\omega}_{\eta\xi,\beta\alpha}(q,p)$$

2<sup>nd</sup> quantization representation:

$$\begin{split} H_{\rm so} &= -\frac{\mu_{\rm B}^2}{\hbar} \frac{m_{\rm band}}{m^*} \int \int \mathrm{d}\mathbf{r}_1 \mathrm{d}\mathbf{r}_2 \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|^3} \psi_{\alpha}^{\dagger}(\mathbf{r}_1) \psi_{\gamma}^{\dagger}(\mathbf{r}_2) \vec{\sigma}_{\alpha\beta} \delta_{\gamma\delta} \\ & \cdot \left[ (\vec{r}_1 - \vec{r}_2) \times (-\mathrm{i}\hbar) \left( (2\bar{g} - 1) \vec{\nabla}_1 - 2\bar{g} \vec{\nabla}_2) \right) \right] \psi_{\delta}(\mathbf{r}_2) \psi_{\beta}(\mathbf{r}_1) \\ H_{\rm so} &= -\frac{\mu_{\rm B}^2}{\hbar} \frac{m_{\rm band}}{m^*} \int \int \mathrm{d}\mathbf{R} \mathrm{d}\mathbf{r} \frac{1}{r^3} \psi_{\alpha}^{\dagger}(\mathbf{R} + \mathbf{r}/2) \psi_{\gamma}^{\dagger}(\mathbf{R} - \mathbf{r}/2) \vec{\sigma}_{\alpha\beta} \delta_{\gamma\delta} \\ & \cdot \left[ \vec{r} \times (-\mathrm{i}\hbar) \left( (4\bar{g} - 1) \vec{\nabla}_r - \frac{1}{2} \vec{\nabla}_R \right) \right] \psi_{\delta}(\mathbf{R} - \mathbf{r}/2) \psi_{\beta}(\mathbf{R} + \mathbf{r}/2) \psi_{\beta}(\mathbf{R} + \mathbf{r}/2) \psi_{\beta}(\mathbf{R} + \mathbf{r}/2) \psi_{\beta}(\mathbf{R} + \mathbf{r}/2) \psi_{\beta}(\mathbf{R} - \mathbf{r}/2) \psi_{\beta}(\mathbf{R} + \mathbf{r}/2) \psi_{\beta}($$

Mean-field type decoupling approximation

$$egin{aligned} &\langle \psi^{\dagger}_{lpha}(\mathbf{R}+\mathbf{r}/2)\psi^{\dagger}_{\gamma}(\mathbf{R}-\mathbf{r}/2)\psi_{\delta}(\mathbf{R}-\mathbf{r}/2)\psi_{eta}(\mathbf{R}+\mathbf{r}/2)
angle \ &\simeq \langle \psi^{\dagger}_{lpha}(\mathbf{R}+\mathbf{r}/2)\psi^{\dagger}_{\gamma}(\mathbf{R}-\mathbf{r}/2)
angle \langle \psi_{\delta}(\mathbf{R}-\mathbf{r}/2)\psi_{eta}(\mathbf{R}+\mathbf{r}/2)
angle \ &= \langle \psi^{\dagger}_{lpha}(\mathbf{r}/2)\psi^{\dagger}_{\gamma}(-\mathbf{r}/2)
angle \langle \psi_{\delta}(-\mathbf{r}/2)\psi_{eta}(\mathbf{r}/2)
angle. \end{aligned}$$

Free energy for pair spin-orbit interaction

$$F_{\rm so} \equiv \langle H_{\rm so} \rangle = -\frac{\mu_{\rm B}^2}{\hbar} \frac{m_{\rm band}}{m^*} (4\bar{g} - 1) V \int d\mathbf{r} \frac{1}{r^3} \vec{\sigma}_{\alpha\beta} \delta_{\gamma\delta} \cdot F_{\gamma\alpha}^*(\mathbf{r}) [\vec{r} \times (-\mathrm{i}\hbar) \vec{\nabla}_r)] F_{\delta\beta}(\mathbf{r})$$
$$F_{\delta\beta}(\mathbf{r}) \equiv \langle \psi_{\delta}(\mathbf{r}/2) \psi_{\beta}(-\mathbf{r}/2) \rangle = \mathrm{i}(\vec{\sigma}\sigma_2)_{\alpha\beta} \cdot \vec{F}(\mathbf{r})$$

$$F_{\rm so} = -g_{\rm so}(\mathrm{i}\vec{d}\times\vec{d^*})\cdot\vec{L} \qquad \qquad g_{\rm so} = \mu_{\rm B}^2 \frac{m_{\rm band}}{m^*} (4\bar{g}-1)4\pi\Psi^2 V_{\rm so}$$

Free energy for dipole-dipole interaction

$$F_{\rm d} = -\frac{3c}{4a\pi} g_{\rm d} \left[ (\vec{d} \cdot \vec{L})^2 - \frac{1}{3} \right] \qquad a(=3.87\text{\AA}) \text{ and } c(=6.37\text{\AA})$$

$$g_{\rm d} = \frac{\pi}{2} \mu_{\rm eff}^2 \Psi^2 = \frac{\pi}{2} \bar{g}^2 \mu_{\rm B}^2 \Psi^2$$
 Hasegawa: JPSJ **72** (2003) 2456

Condensation energy in GL region

$$F_{\rm GL} = \frac{1}{2} \left( \frac{\mathrm{d}n}{\mathrm{d}\epsilon} \right) \left[ -\left( 1 - \frac{T}{T_{\rm c}} \right) \frac{\Delta_{\uparrow}^2 + \Delta_{\downarrow}^2}{2} + \frac{7\zeta(3)}{16} \frac{\kappa}{(\pi k_{\rm B} T_{\rm c})^2} \frac{\Delta_{\uparrow}^4 + \Delta_{\downarrow}^4}{2} \right]$$
$$F_{\rm cond}^{\rm unit} = -\frac{1}{4} \left( \frac{\mathrm{d}n}{\mathrm{d}\epsilon} \right) \frac{8}{7\zeta(3)} \frac{1}{\kappa} (\pi k_{\rm B} T_{\rm c})^2 \left( 1 - \frac{T}{T_{\rm c}} \right)^2$$

Spin-orbit coupling in GL region

$$g_{\rm d} = \frac{\pi}{8} \mu_{\rm eff}^2 \left(\frac{\mathrm{d}n}{\mathrm{d}\epsilon}\right)^2 \frac{8}{7\zeta(3)} \frac{1}{\kappa} (\pi k_{\rm B} T_{\rm c})^2 \left[\ln(1.14\beta_{\rm c}\epsilon_{\rm c})\right]^2 \left(1 - \frac{T}{T_{\rm c}}\right)$$

$$\frac{g_{\rm so}}{|F_{\rm cond}^{\rm unit}|} = \frac{m_{\rm band}}{m^*} \frac{(4\bar{g}-1)}{\bar{g}^2} 4\pi \mu_{\rm B}^2 \left(\frac{{\rm d}n}{{\rm d}\epsilon}\right) \left[\ln(1.14\beta_{\rm c}\epsilon_{\rm c})\right]^2 \left(1-\frac{T}{T_{\rm c}}\right)^{-1}$$

Gap structure in equilibrium

$$\hat{\Delta} = \frac{\Delta_0}{\sqrt{1+\eta^2}} \begin{pmatrix} -1-\eta & 0\\ 0 & 1-\eta \end{pmatrix}$$

$$d_{0x} = \frac{1}{\sqrt{1+\eta^2}} \quad d_{0y} = i \frac{\eta}{\sqrt{1+\eta^2}}$$

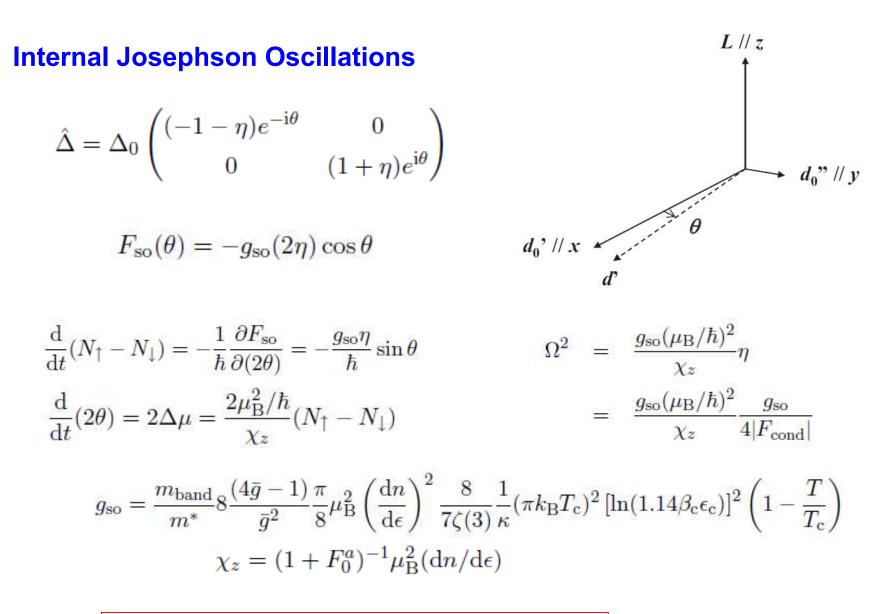
 $d_{0z} = 0$  weakly non-unitary

Total free energy in the GL region

energy in the GL region  

$$F(\eta) = -g_{\rm so}\frac{2\eta}{1+\eta^2} + |F_{\rm cond}^{\rm unit}|\frac{4\eta^2}{(1+\eta^2)^2} \qquad \Delta F_{\rm cond} = |F_{\rm cond}^{\rm unit}|\frac{4\eta^2}{(1+\eta^2)^2}$$

$$F_{\rm so} = -g_{\rm so}\frac{2\eta}{1+\eta^2}$$



 $\Omega \simeq 4.3 imes 10^7 \sqrt{(1+F_0^a)/\kappa} T_{
m c} rac{m_{
m band}}{m} ~~[{
m sec}^{-1}]$ 

Energy due to magnetic field

$$\begin{split} \Delta F_{\text{magn}} &= \frac{1}{\kappa} \frac{1 - \frac{T}{T_c}}{1 + F_0^a} \chi_z H^2 \\ &= \frac{1}{\kappa} \frac{1 - \frac{T}{T_c}}{(1 + F_0^a)^2} \mu_B^2 \left(\frac{\mathrm{d}n}{\mathrm{d}\epsilon}\right) H^2 \quad \longleftrightarrow \quad F_{\text{so}} = -g_{\text{so}} \frac{2\eta}{1 + \eta^2} \\ g_{\text{so}} &= \frac{m_{\text{band}}}{m^*} 8 \frac{(4\bar{g} - 1)}{\bar{g}^2} \frac{\pi}{8} \mu_B^2 \left(\frac{\mathrm{d}n}{\mathrm{d}\epsilon}\right)^2 \frac{8}{7\zeta(3)} \frac{1}{\kappa} (\pi k_B T_c)^2 \left[\ln(1.14\beta_c \epsilon_c)\right]^2 \left(1 - \frac{T}{T_c}\right) \\ H_a^{\text{so}(2)} \simeq 1.2 \times 10 \left(1 - \frac{T}{T_c}\right)^{-1/2} \quad \text{[gauss]} \\ \text{In the limit of } T \to T_c \quad \bigstar \quad \mathbf{d} \perp \mathbf{c} \\ H_a^{\text{so}(2)} \simeq 6.4 \times 10^2 \quad \text{[gauss]} \\ \text{rgy due to dipole-dipole interaction} \end{split}$$

Energy due to dipole-dipole interaction  

$$\frac{(3c/4a\pi)g_{\rm d}}{\Delta F_{\rm magn}} \simeq 0.39 \times 10^4 \frac{1}{H^2} (1+F_0^a)^2 \frac{m^*}{m} T_{\rm c}^2 \simeq 3.6 \times 10^4 \frac{1}{H^2} \quad [{\rm gauss}^{-2}]$$

$$H_{\rm a}^{\rm dd} \simeq 1.9 \times 10^2 \quad [{\rm gauss}] \quad \longleftrightarrow \quad {\rm d} \ // \ {\rm c}$$

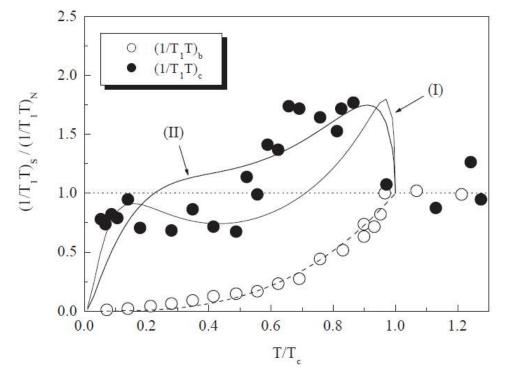
Anisotropy field due to one-body spin-orbit coupling can win dipole-dipole term

NQR relaxation rate due to internal Josephson oscillations

#### NQR relaxation rate in normal state

$$\left(\frac{1}{T_1 T}\right)_{\rm N} = -A \frac{1}{4} \frac{\chi_z}{\mu_{\rm B}^2} \frac{\hbar \left(\frac{\mathrm{d}n}{\mathrm{d}\epsilon}\right)}{1 + F_0^{\rm a}} \frac{q_{\rm c}}{k_{\rm F}} n_{\rm L} c^2 a^2$$

$$\frac{(1/T_1T)_{S(J)}}{(1/T_1T)_N} = \frac{6.5 \times 10}{(q_c/k_F)a^2 n_{2d}} br^2 4(1+F_0^a) \left(\frac{T_c}{T}\right)^2 \frac{\gamma_0}{1+(\gamma_0 \omega \tau)^2}$$
  
Two independent parameters  $C \equiv \frac{6.5 \times 10}{(q_c/k_F)a^2 n_{2d}} br^2 \quad D \equiv 0.39 \frac{b}{T_c}$ 



 $(1/T_1T)_{\rm S} = (1/T_1T)_{\rm S(J)} + (1/T_1T)_{\rm S(Q)}$ 

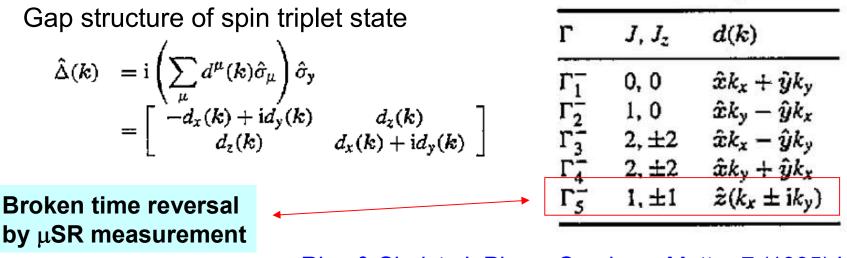
(I) C = 0.2, D = 0.44(II) C = 0.55, D = 1.0

Mukuda, Ishida et al: Phys. Rev. B **65** (2002) 132507

## Conclusion 2

- It is shown that the SO coupling works only in the equalspin pairing (ESP) state to make the pair angular momentum L and the pair spin angular momentum
   i dxd\* parallel with each other.
- The SO coupling gives rise to the internal Josephson effect in a chiral ESP state as in superfluid A-phase of <sup>3</sup>He with a help of an additional anisotropy arising from SO coupling of atomic origin which works to direct the d-vector into ab-plane.
- This resolves the problem of the anomalous relaxation of <sup>17</sup>O-NQR and the structure of d-vector in Sr<sub>2</sub>RuO<sub>4</sub>.

#### Meaning of spin-orbit coupling for Cooper pairing



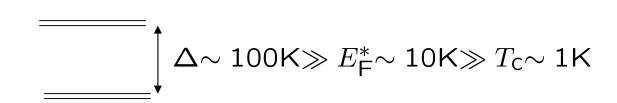
Rice & Sigrist: J. Phys.: Condens. Matter 7 (1995) L643

Fundamental assumption of group theoretical argument in the case of strong "pair" spin-orbit interaction – orbital and spin space are transformed simultaneously

 $[\widehat{R}\mathbf{d}(\mathbf{k})]_i = \sum_j R_{ij} d_j(\widehat{R}\mathbf{k})$  $\widehat{R}: \text{ crystal group transformation}$ 

This assumption is apparently broken if the "pair" spin-orbit coupling is negligibly small. Then, a question is what the condition of "pair" spin-orbit is strong enough to assure the above assumption is. **Point:** strong **one-body** spin-orbit coupling does not necessarily imply strong "pair" spin-orbit coupling.

cf. In Ce-based heavy fermion systems with CEF of order 100K, one-body atomic spin-orbit coupling has already been used to form quasiparticles which are specified by the label of Kramers doublet of CEF ground state. Relevant "pair" spin-orbit coupling is estimated to be negligibly small: K. Miyake, Springer Series in Solid State Sciences 62, p.256



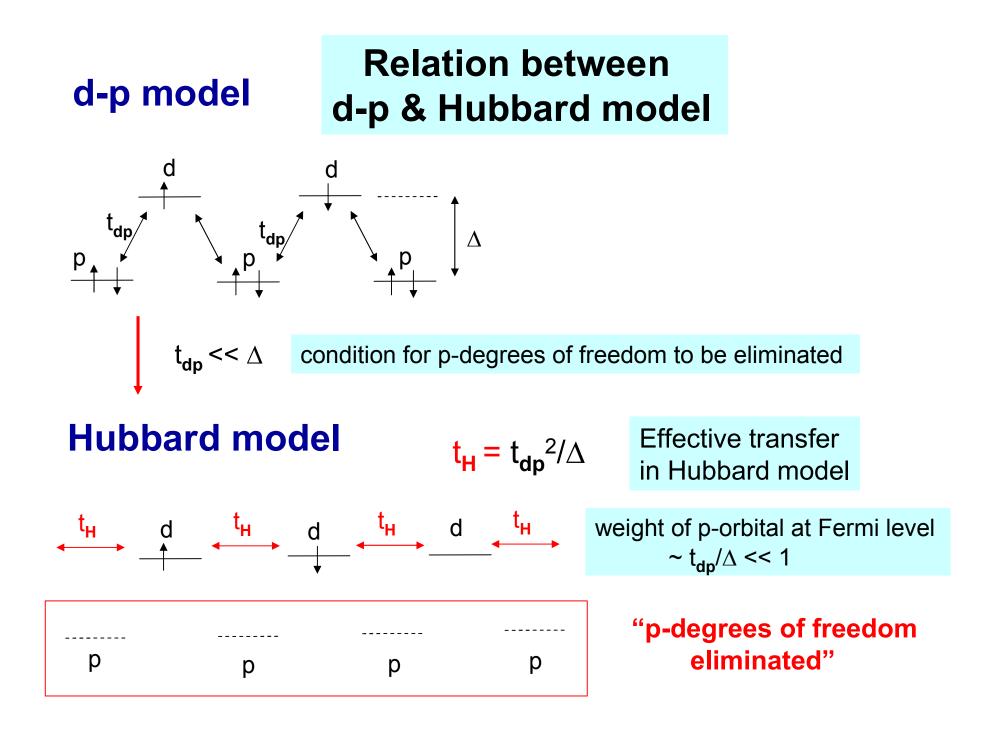
#### **Group theoretical arguments:**

Anderson, Volovik & Gorkov, Ueda & Rice, Blount (1984)

In any odd parity state, gap can vanish only at point(s) if the "pair" spin-orbit interaction is strong enough.

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Counter example: UPt<sub>3</sub>
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Tou et al: PRL **77** (1996) 1374. PRL **80** (1998) 3129.



 $\chi_{\perp}(\mathbf{q},0)$  1<sup>st</sup> order in  $U_{dd}$  and  $U_{pp}$ 

